

**OFFICE OF POLICY AND MANAGEMENT (OPM)
 RESPONSES TO QUESTIONS FROM RANKING MEMBER REPRESENTATIVE NUCCIO
 FY2027 MIDTERM BUDGET ADJUSTMENTS**

Headcount Questions:

- 1. What is your current headcount?**
 - a. Total headcount:**
 - b. Of the total, how many are Funded headcount:**
 - c. Of the funded, how many Actual headcount:**
 - d. Open/vacancies headcount:**
 - i. Are these opens part-time or full-time resources?**

***These numbers should tie (example):**

- a. Total headcount: 100**
- b. Funded headcount: 90**
- c. Actual headcount: 80**
- d. Open headcount: 10**
 - Full-time = 8**
 - Part-time = 2**

(Funded) 90 – (Actual) 80 = (Open) 10

OPM RESPONSE:

Fund (Fund Name/Number)	Total Headcount (FY26 position count per OFA)	Funded Headcount	Actual Headcount (OPM Filled Positions As of January 31, 2026)	*Open Headcount (OPM Vacancies as of January 31, 2026)
General Fund/Fund 11000	191	183	171	12
Insurance Fund/Fund 12004	3	3	3	0
Special Transportation Fund/Fund 12001	7	7	6	1
Consumer Counsel and Public Utility Control Fund/Fund 12006	2	2	1	1
Total All Funds	203	195	181	14

- a. Total headcount across all funds: 203
- b. Funded headcount across all funds: 195
- c. Actual headcount across all funds: 181
- d. Open headcount across all funds: 14

(Funded) 195 – (Actual) 181 = (Open) 14

*The fourteen (14) open/vacant positions are all full-time positions.

- 2. Is there is change in headcount (either up or down) for this budget ask?**
 - a. If there is a positive change in headcount, please explain what the positions are and what is driving the need for the positions**
 - i. If these adds are legislatively driven, what piece of legislation is driving the increase?**
 - ii. If they are not legislatively driven, please indicate which program is increasing if there is one**
 - b. If there is a reduction, please explain what is driving the reduction**
 - i. Are the positions being transferred to another area?**

OPM RESPONSE:

The Governor’s proposed adjustments to the enacted FY 2027 budget includes fourteen (14) additional headcount/positions for OPM as follows:

- One (1) new lead policy position to coordinate work under a newly established non-profit health care cabinet.
- Thirteen (13) existing positions to be reallocated from the Office of Health Strategy (OHS) to OPM as part of the proposal to reorganize functions at OHS to better align programmatic activities with agencies that perform similar functions.
 - Six (6) existing General Fund positions proposed for transfer from OHS to OPM will perform functions related to health care affordability, Health Information Exchange (HIE) and All-Payer Claims Database.
 - Seven (7) existing Insurance Fund positions to be reallocated from OHS to OPM will perform functions related to Health Information Exchange (HIE), All-Payer Claims Database work, expansion of telehealth capacity and development of AI-powered virtual monitoring tools.

There are no reductions proposed in OPM’s headcount.

- 3. Does this budget ask include the open/vacant positions above?**
 - a. If yes, how are they budgeted into your plan? (Please explain for all opens – if you have ten opens then explain for all ten)**
 - i. Are these full time or part positions?**

ii. What is the anticipated start date of your vacancies?

***Please detail by number, for example: There are 10 open positions – 8 are full-time and 2 are part-time. We have built them into the budget as follows. 8 full-time positions are expected to be filled on 07/01 and 2 part-time positions are expected to be filled on 01/01**

OPM RESPONSE:

The FY 2027 budget for OPM includes sufficient funding for all current filled and vacant funded positions, including those proposed for transfer from OHS to OPM as well as the new position to coordinate a new nonprofit healthcare cabinet. The budget does not include, nor has OPM requested, additional funding to support additional positions up to the level identified by OFA.

OPM has 14 open/vacant positions which are all full-time budgeted positions. A breakdown of current vacancies is as follows:

OPM Position Title	Anticipated Start Date	Funding Source	Comments/Updates on Filling Vacancy
OPM Assistant Division Director	February 6, 2026	General Fund, budgeted	Vacancy due to separation; interviews complete and candidate selected
Secretary 1 (confidential)	February 6, 2026	General Fund, budgeted	Vacancy due to separation; position committed
Secretary 1 (confidential)	February 20, 2026	General Fund, budgeted	Vacancy due to separation; position committed
Grants and Contracts Specialist	March 20, 2026	General Fund, budgeted	Vacancy due to separation; job interviews underway
Research Analyst	April 3, 2026	General Fund, budgeted	Vacancy due to separation; job interviews underway
Research Analyst	April 17, 2026	Public Utility Control Fund, budgeted	Vacancy due to incumbent promotion; job interviews pending
Municipal Assessment Professional	April 17, 2026	General Fund, budgeted	Vacancy due to separation; job interviews pending
Lead Planning Analyst	April 17, 2026	General Fund, budgeted	New position pursuant to S.A. 25-1/HB 8002, job interviews underway
Lead Planning Analyst	April 17, 2026	General Fund, budgeted	New position pursuant to S.A. 25-1/HB 8002, job interviews underway

Planning Analyst	May 15, 2026	General Fund, budgeted	Vacancy due to separation; position posted
Agency Labor Relations Specialist	May 15, 2026	Special Transportation Fund, budgeted	Vacancy due to separation; position posted
IT Manager 1	May 29, 2026	General Fund, budgeted	Vacancy due to promotion of incumbent; job announcement pending
Labor Relations Specialist	May 29, 2026	General Fund, budgeted	Vacancy due to separation; job announcement pending
Executive Assistant 1	June 26, 2026	General Fund, budgeted	Subject to appointment in accordance with C.G.S Sec. 5-198(10)

- 4. How many opens/vacancies did you have at the prior year end on 06/30/2025?**
- How many vacancies did you start the prior year with (07/01/2024)?**
 - How many people left throughout the year either via leaving, retiring, or transferring?**
 - How many new hires did you have in the same time period (07/01/24-06/30/25)?**

***For example-- all of these numbers should tie. Started 2023 with 20 vacancies, 2 left for retirement, hired 12. This should tie to your opens above – 20+2-12=10**

OPM RESPONSE:

	Vacancies as of 7/1/2024 (Start of FY 2025)	Separations in FY 2025	Hires in FY 2025	OPM Vacancies as of 6/30/2025 (End of FY 2025)
All Appropriated Funds	11	9	12	8

OPM started 7/1/2024 with 11 vacancies, 9 left (1 retirement, 4 transfers, 1 discharge, 3 resignations), and 12 hired. Therefore, [(11+9) – 12 = 8].

Please note, the 8 vacancies as of 6/30/2025 do not tie to the current open vacancies of 14 noted in the response to question #3 above because, since 6/30/2025, 10 additional positions became vacant/open and 4 hires have occurred. Therefore, the accounting of staffing from 6/30/2025 through current (January 31, 2026) is as follows: OPM started FY 2026 (effective 7/1/2025) with 8 vacancies, 10 left (4 transfers, 1 retirement, 3 resignations, 2 terminations), and 4 hires, resulting in, [(8+10) - 4 = 14].

5. What is the average salary of your open positions?

OPM RESPONSE:

The average salary is \$97,129. The cost of an open position is dependent on the job duties and job classification.

Lapse Questions: *(please provide the numbers and not a link to the comptroller's report)

- 1. Were there any lapsing accounts on 06/30/2025?**
 - a. If yes, what were the accounts?**
 - b. If yes, what was the lapse balance?**
 - c. If yes, what drove the lapse?**

OPM RESPONSE:

Yes, there were lapsing accounts as of 6/30/2025, see attached *OPM End of Fiscal Year 2025 Lapse Report* for all appropriated funds with lapsing account balances and balances that were continued in FY2026.

Lapses at the end of FY2025 occurred for the following reasons:

- Accounts 10010 (Personal Services) for the Insurance, Public Utility Control (PUC) and Special Transportation (STF) funds are due to timing of refills and delays in hiring.
- Accounts 12244 (Fringe Benefits) for the Insurance and PUC funds are due to timing of refills and delays in hiring.
- Accounts 10020 (Other Expenses) in the General and PUC funds are due to:
 - Savings from contractual agreements related to the study of the UCHC operations appropriated in accordance with Sec. 148(a)(1) and Sec. 148(b) of P.A. 24-151.
 - Lapses from remaining funds appropriated in accordance with Sec. 36 of S.A. 21-15 as amended by Sec. 12(e) of P.A. 22-118 and carried forward in accordance with CGS Sec. 4-89(c).
 - Equipment purchase savings.
- Account 12169 (ABS and Data Base Link) reflects actual expenditures.
- Account 12251 (Justice Assistance Grants) due to actual federal expenditures and meeting state match requirements for federal Department of Justice Grants.
- Account 16017 (Tax Relief for Elderly Renters) due to actual elderly renters' applications processed and payments made.

- Account 17016 (Distressed Municipalities) as part of FY 2025 \$129 million expenditure reduction in accordance with Sec. 5 of P.A. 24-81.
- Account 17021 (Property Tax Relief Elderly Freeze Program) due to fewer participants remaining in the program. No new applicants since 1978.
- Account 17024 (Property Tax Relief for Veterans – Additional Veterans) due to municipal claims decline from 8,394 to 7,460. Claims have been declining over the past few years because the state’s reimbursement is an additional benefit beyond local benefits. Eligibility is based on income and there are fewer qualifying applicants.

2. Please provide the starting Personnel Services budget number and the ending Personnel Services number. Please do not include any dollars that may have been moved via the FAC process. Just total non-adjusted budgeted PS line item and total ending PS line item

OPM RESPONSE:

OPM FY2026 Unadjusted Personal Services Appropriation Pursuant to Sec. 1 of P.A. 25-168 (starting PS Budget eff. 7/1/2025)	OPM FY2025 Expenditures of FYE June 30, 2025 (ending/actual PS expenditures as of 6/30/2025)
\$21,379,691	\$19,882,501

3. Where there any dollars for new programs/legislation that did not kick off?
a. If so what were the programs/legislation?
b. What prevented implementation of the program?

OPM RESPONSE:

All new programs in FY 2025 were fully implemented and funds fully expended with the following exceptions:

- \$3,000,000 for legal and technical consulting services related to Prospect Holdings bankruptcy. Funds were carried forward and consultation is ongoing in FY 2026.
- \$50,000 for P20WIN Executive Board Plan for Statewide Data Intermediary (Disconnected Youth) in accordance with P.A. 24-45 Sec. 21. Study commenced in FY 2025, continued into FY 2026, and is now completed.
- \$200,000 for managerial compensation study in accordance with P.A. 24-151. Funds were carried forward and consultation efforts commenced in FY 2025 and remain underway in FY 2026.

4. **If there is a lapsing balance, do you anticipate it carrying forward?**
 - a. **If yes, how do you propose to use that lapse?**
 - b. **Will it be for one-time expenses?**
 - i. **If so, what are those one-time expenses?**
 - c. **If ongoing expense is that expense built into this budget in FY26?**

OPM RESPONSE:

- Lapsing OPM FY 2025 Personal Services balance approved for carryforward into FY 2026 is to cover anticipated one-time expenditure requirements.
- Lapsing OPM FY 2025 General Fund Other Expenses balances approved for continuation in FY 2026 as one-time expenses are:
 - \$2,253,244 remaining funds for consulting services related to Prospect Holdings bankruptcy were carried forward and consultation services are underway in FY 2026.
 - \$12,500 remaining funds for P20WIN Executive Board Plan for Statewide Data Intermediary (Disconnected Youth) in accordance with P.A. 24-45 Sec. 21. Study commenced in FY 2025, continued into FY 2026, and is now completed.
 - \$31,775 remaining funds for managerial compensation study in accordance with P.A. 24-151. Funds were carried forward and consultation efforts are underway in FY 2026.
 - \$82,825 remaining funds for Artificial Intelligence policy development in accordance with P.A. 23-204. Funds were carried forward and program implementation is ongoing in FY 2026.
- Balances in accounts 12130 (Litigation Settlement) and 17105 (Municipal Restructuring) are statutorily non-lapsing.

ARPA Questions:

1. **Are there still ARPA funds included in this budget?**
 - a. **If yes, when will the funding be fully utilized**

OPM RESPONSE:

No ARPA funds are included in the FY 2027 midterm budget adjustments. ARPA funds were required by federal law to be obligated by December 31, 2024, and must be expended by December 31, 2026. OPM is on track to expend remaining ARPA obligations by the December 31, 2026 deadline.

Audit Questions:

1. **Have you reviewed your agencies latest audit finding?**
2. **Have you implemented the recommendations with no fiscal impact?**
 - a. **If so, please provide explanation of what you have changed to meet audit expectations.**
3. **If your agency has a recommendation with a fiscal impact, do you know what that annualized impact is?**

OPM RESPONSE:

The most recent audit reports (for fiscal years 2021, 2022 and 2023) had two (2) findings and no repeat findings for OPM. A summary of the status are as follows:

1. Finding #1: Lack of Documentation Supporting Overtime and No Overtime Policy – lack of preapprovals of overtime for 4 employees. Additionally, lack of a formal written overtime policy.

Recommendation: OPM should strengthen internal controls to ensure that supervisors preapprove overtime and document their approval. The department should also develop written overtime policies.

Corrective Action Taken: OPM has developed and implemented a written overtime policy which requires managers' pre-approval before overtime is earned. This recommendation and correction implemented had no fiscal impact.

2. Finding #2: Inadequate Controls Over the State Single Audit - OPM as the cognizant agency for audits conducted pursuant to C.G.S. Secs. 4-230 to 4-236, does not use current year payment data to estimate which subrecipients may need to submit a state-single or program-specific audit for that fiscal year.

Audit Recommendation: OPM should strengthen its internal controls to ensure that entities that expend \$300,000 or more in state financial assistance submit a state single or program-specific audit for that fiscal year.

3. Corrective Action Taken: The determination of whether an entity is required to undergo a State Single Audit (SSA) is based upon the amount of state financial assistance the entity expended in its fiscal year. Unlike municipalities, nonprofit organizations do not have a uniform fiscal year, and the fiscal year of an organization for which payments are made is not reflected in Core-CT. Payments to an entity may be made as state financial assistance, federal financial assistance, fee for service, or other types of payments. These barriers have made it difficult to use existing Core-CT reporting capabilities to identify and estimate the entities required to undergo a state single audit.

OPM's Office of Finance held numerous meetings with Core-CT support staff and State Analytical Reporting System (STARS) administrators. New reporting payment lists were developed based upon the underlying data from Core-CT including new data sets. After testing, the new reporting did not provide sufficient information to identify entities that are required to file a state single audit.

OPM has concluded that in order to use Core-CT to identify and estimate entities required to file a state single audit, further enhancements are needed to Core-CT to capture the fiscal year of the organization, and to identify those payments made that are state financial assistance. OPM will continue to work with the State Comptroller's Office to explore potential Core-CT enhancements to develop this reporting capability. Feasible solutions will take time and financial resources to implement. The fiscal impact is not known at this point regarding this finding.

General Questions:

- 1. Is there anything you would change about this budget?**

OPM RESPONSE:

OPM strongly supports the budget as proposed by Governor Lamont.

- 2. Is there anything you would add to this budget?**

OPM RESPONSE:

OPM strongly supports the budget as proposed by Governor Lamont.

- 3. Is there anything you would remove from this budget?**

OPM RESPONSE:

OPM strongly supports the budget as proposed by Governor Lamont.

- 4. Is there any legislation that was passed you feel you are not adequately prepared to implement?**

- a. If so, what would we need to change to make it implementable?**

OPM RESPONSE:

No.

STATE OF CONNECTICUT GENERAL FUND - 11000
STATEMENT OF APPROPRIATIONS AND EXPENDITURES
FISCAL YEAR ENDED JUNE 30, 2025

	CONTINUED AND INITIAL		APPROPRIATION	TOTAL		APPROPRIATIONS CONTINUED	
	APPROPRIATIONS	ADJUSTMENTS		APPROPRIATIONS	EXPENDITURES	LAPSED	TO FY 26
OFFICE OF POLICY AND MANAGEMENT							
10010 PERSONAL SERVICES	\$ 24,735,289.00	\$ (3,950,267.00)	\$ 20,785,022.00	\$ 19,882,501.00	\$ -	\$ 902,521.00	
10020 OTHER EXPENSES	\$ 4,456,403.00	\$ 3,000,000.00	\$ 7,456,403.00	\$ 2,221,984.00	\$ 1,471,151.00	\$ 3,763,268.00	
12130 LITIGATION SETTLEMENT	\$ 2,360,548.00	\$ -	\$ 2,360,548.00	\$ -	\$ -	\$ 2,360,548.00	
12169 AUTOMATED BUDGET SYSTEM & DATA BASE LINK	\$ 20,438.00	\$ -	\$ 20,438.00	\$ 3,411.00	\$ 17,027.00	\$ -	
12251 JUSTICE ASSISTANCE GRANTS	\$ 800,967.00	\$ 4,021.00	\$ 804,988.00	\$ 743,247.00	\$ 61,741.00	\$ -	
12573 PROJECT LONGEVITY	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
16017 TAX RELIEF FOR ELDERLY RENTERS	\$ 25,020,226.00	\$ -	\$ 25,020,226.00	\$ 25,019,834.00	\$ 392.00	\$ -	
16066 PRIVATE PROVIDERS	\$ 53,300,000.00	\$ (53,300,000.00)	\$ -	\$ -	\$ -	\$ -	
17004 REIMBURSE TOWNS - TAX LOSS-STATE PROPERTY	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
17006 REIMBURSE TOWNS - TAX LOSS-PRIV. TAX-EXEMPT PROP.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
17011 REIMBURSE PROPERTY TAX - DISABILITY EXEMPTION	\$ 364,713.00	\$ -	\$ 364,713.00	\$ 364,713.00	\$ -	\$ -	
17016 DISTRESSED MUNICIPALITIES	\$ 1,500,000.00	\$ -	\$ 1,500,000.00	\$ -	\$ 1,500,000.00	\$ -	
17021 PROPERTY TAX RELIEF ELDERLY FREEZE PROGRAM	\$ 6,000.00	\$ -	\$ 6,000.00	\$ 3,500.00	\$ 2,500.00	\$ -	
17024 PROPERTY TAX RELIEF FOR VETERANS	\$ 2,708,107.00	\$ -	\$ 2,708,107.00	\$ 1,529,599.00	\$ 1,178,508.00	\$ -	
17102 MUNICIPAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
17103 MUNICIPAL TRANSITION	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
17104 MUNICIPAL STABILIZATION GRANT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
17105 MUNICIPAL RESTRUCTURING	\$ 39,185,000.00	\$ (33,000,000.00)	\$ 6,185,000.00	\$ -	\$ -	\$ 6,185,000.00	
17111 TIERED PILOT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
AGENCY TOTAL	\$ 154,457,691.00	\$ (87,246,246.00)	\$ 67,211,445.00	\$ 49,768,789.00	\$ 4,231,319.00	\$ 13,211,337.00	

STATE OF CONNECTICUT TRANSPORTATION FUND 12001
STATEMENT OF APPROPRIATIONS AND EXPENDITURES
FISCAL YEAR ENDED JUNE 30, 2025

	CONTINUED & INITIAL	APPROPRIATION	TOTAL		APPROPRIATIONS	
	APPROPRIATIONS	ADJUSTMENTS	APPROPRIATIONS	EXPENDITURES	LAPSED	CONTINUED TO FY 26
OFFICE OF POLICY AND MANAGEMENT						
10010 PERSONAL SERVICES	\$ 740,945.00	\$ 21,688.00	\$ 762,633.00	\$ 691,147.00	\$ 71,486.00	\$ -
TOTAL OFFICE AND POLICY MANAGEMENT	\$ 740,945.00	\$ 21,688.00	\$ 762,633.00	\$ 691,147.00	\$ 71,486.00	\$ -

INSURANCE FUND - 12004

**STATEMENT OF APPROPRIATIONS AND EXPENDITURES
FISCAL YEAR ENDED JUNE 30, 2025**

	CONTINUED & INITIAL	APPROPRIATION	TOTAL		APPROPRIATIONS	
	APPROPRIATIONS	ADJUSTMENTS	APPROPRIATIONS	EXPENDITURES	LAPSED	CONTINUED TO FY26
OFFICE OF POLICY AND MANAGEMENT						
10010 PERSONAL SERVICES	\$ 363,008.00	\$ 8,179.00	\$ 371,187.00	\$ 292,328.00	\$ 78,859.00	-
10020 OTHER EXPENSES	\$ 6,012.00	-	\$ 6,012.00	\$ 6,012.00	\$ -	-
10050 EQUIPMENT						
12244 FRINGE BENFITS	\$ 277,130.00	-	\$ 277,130.00	\$ 201,787.00	\$ 75,343.00	-
TOTAL OFFICE AND POLICY MANAGEMENT	\$ 646,150.00	\$ 8,179.00	\$ 654,329.00	\$ 500,127.00	\$ 154,202.00	-

CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND - 12006

STATEMENT OF APPROPRIATIONS AND EXPENDITURES

FISCAL YEAR ENDED JUNE 30, 2025

	CONTINUED & INITIAL	APPROPRIATION	TOTAL		APPROPRIATIONS	
	APPROPRIATIONS	ADJUSTMENTS	APPROPRIATIONS	EXPENDITURES	LAPSED	CONTINUED TO FY26
OFFICE OF POLICY AND MANAGEMENT						
10010 PERSONAL SERVICES	\$ 194,591.00	\$ 4,300.00	\$ 198,891.00	\$ 176,604.00	\$ 22,287.00	-
10020 OTHER EXPENSES	\$ 2,000.00	-	\$ 2,000.00	\$ 1,325.00	\$ 675.00	-
12244 FRINGE BENEFITS	\$ 196,074.00	-	\$ 196,074.00	\$ 132,445.00	\$ 63,629.00	-
TOTAL OFFICE AND POLICY MANAGEMENT	\$ 392,665.00	\$ 4,300.00	\$ 396,965.00	\$ 310,374.00	\$ 86,591.00	-

MASHANTUCKET PEQUOT AND MOHEGAN FUND - 12009

STATEMENT OF APPROPRIATIONS AND EXPENDITURES
 FISCAL YEAR ENDED JUNE 30, 2025

	CONTINUED & INITIAL	APPROPRIATION	TOTAL		APPROPRIATIONS	
	APPROPRIATIONS	ADJUSTMENTS	APPROPRIATIONS	EXPENDITURES	LAPSED	CONTINUED TO FY26
OFFICE OF POLICY AND MANAGEMENT						
17005 GRANTS TO TOWNS	\$ 52,663,455.00	-	\$ 52,663,455.00	\$ 52,513,292.00	\$ 150,163.00	-
TOTAL OFFICE AND POLICY MANAGEMENT	\$ 52,663,455.00	-	\$ 52,663,455.00	\$ 52,513,292.00	\$ 150,163.00	-

MUNICIPAL REVENUE SHARING FUND - 12002

STATEMENT OF APPROPRIATIONS AND EXPENDITURES
 FISCAL YEAR ENDED JUNE 30, 2025

	CONTINUED & INITIAL APPROPRIATIONS	APPROPRIATION ADJUSTMENTS	TOTAL APPROPRIATIONS	EXPENDITURES	APPROPRIATIONS LAPSED	APPROPRIATIONS CONTINUED
OFFICE OF POLICY AND MANAGEMENT						
17102 SUPPLEMENTAL REVENUE SHARING	\$ 74,672,470.00	\$ 2.00	\$ 74,672,472.00	\$ 74,672,468.00	\$ 4.00	-
17103 MOTOR VEHICLE TAX GRANTS	\$ 154,562,410.00	\$ (102,190.00)	\$ 154,460,220.00	\$ 136,277,725.00	\$ 18,182,495.00	-
17111 TIERED PILOT	\$ 339,410,167.00	\$ 7,709,879.00	\$ 347,120,046.00	\$ 347,120,045.00	\$ 1.00	-
TOTAL OFFICE AND POLICY MANAGEMENT	\$ 568,645,047.00	\$ 7,607,691.00	\$ 576,252,738.00	\$ 558,070,238.00	\$ 18,182,500.00	\$ -